

Naval Inspector General



Washington Navy Yard, DC



Conscience of the Navy...Making a Difference!



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Intelligence/Special Access Program (SAP) Oversight Division

(N2)



Overview

- N2 Division -- Points of Contact
- Significant Oversight Programs
 - Intelligence Oversight
 - Security/Technology Protection/Counter-Intelligence Inspection Program
 - Compartmented Programs/Sensitive Activities



Intelligence Oversight Significant Oversight Programs

- Intelligence Oversight (IO)
 - Oversee DoN IO Program
- Security / Technology Protection / Counterintelligence Inspections
 - Protecting our people and interests at Naval RDT&E facilities
- Compartmented Programs and Sensitive Activities
 - Standing member / chair



Intelligence Oversight NAVINSGEN Responsibilities

- Ensure Compliance with E.O. 12333, U.S. law & DoD Directives / Regulations
- Policy / Guidance / Advice
- Inspect designated “Intelligence Components”
- Monitor subordinate Intelligence Oversight programs
- Review / Investigate reported “Questionable Activities”
- Quarterly / Annual Reports to ATSD



Intelligence Oversight Applicability

Applies to:

- ✓ DoN intelligence components
- ✓ Any organization, staff, office used for intelligence purposes

Does Not Apply to:

- ✓ Non-intelligence activities
- ✓ Unit administrative activities
- ✓ Law enforcement activities



Intelligence Oversight Policy

Naval intelligence components shall not

- **Collect** (e.g., concealed monitoring, mail / physical searches, electronic / physical surveillance or disclosed participation)
- **Retain** or
- **Disseminate** information about U.S. persons
 - **Unless** it is disseminated IAW the procedures contained in DoD Regulation 5240.1-R, and
 - **Only** if the information falls within one of the following categories:



Intelligence Oversight Policy (cont.)

- Protection of sources / methods
- Obtained with consent
- Personnel security
- Foreign intelligence
- Counterintelligence
- International narcotics
- Physical security
- Potential sources
- Overhead reconnaissance
- Admin purposes
- Communications Security
- International terrorism



Intelligence Oversight Mission

- Ensure all intelligence activities, operations, and programs function are in compliance with all applicable laws, directives, and policies
- Consolidate quarterly / annual reports from designated DoN “Intelligence Components”



Intelligence Oversight Mission (cont.)

CNO N2
COMFLTFORCOM
COMNAVRESINTCOM
COMNAVSECGRURES
COMNAVSPECWARCOM
COMPACFLT
COMUSNAVCENT
COMUSNAVEUR
COMUSNAVSO
NCIS
ONI
USMC (HQMC-I/MCIA/USMC intel components via IGMC)



Intelligence Oversight Mission (cont.)

- Forward the reports to the Assistant to the Secretary of Defense (Intelligence Oversight) for review



Intelligence Oversight Inspection Program

(conducted every 3 yrs)

- Advance notice of inspection (formal scheduling letter)
- Standard inspection duration (est. 2 days)
 - Short in-brief with senior official
 - Receive unit mission and Intelligence Oversight brief
 - Discuss Intelligence Oversight program management
 - Review intelligence files / procedures / documentation
 - Interview personnel
 - Verbal out-brief with senior official
- Follow-up (formal) written record / letter



Intelligence Oversight Recurring Issues

- Training Deficiencies
 - Inconsistent implementation
 - Weak IO program documentation
- Time-late reporting
 - “Procedure 15” reporting
 - Quarterly and / or annual input



Intelligence Oversight Recurring Issues

- Role of Combatant Commander (COCOM) IG in IO Program
 - Ill-defined requirement
 - COCOM IG manpower – limited / constrained
 - Inconsistent IO program implementation



Intelligence Oversight References

- Executive Order 12333
- DoD Directive 5240.1
- DoD Regulation 5240.1-R
- SECNAVINST 3820.3D



Security / Technology Protection / Counterintelligence Inspection Program

- Uniform system of inspections established May 02 as mandated by DepSecDef
 - Security
 - Technology Protection
 - Counterintelligence practices
- NAVIG maintains list of eligible Naval RDT&E sites
 - COMOPTEVFOR
 - NAVAIR (incl eligible claimancy facilities)
 - NAVSEA (incl eligible claimancy facilities)
 - ONR (incl NRL)
 - SPAWARSYSCENs (San Diego and Charleston)



Security / Technology Protection / Counterintelligence Inspection Program References

- MOU On Security, Technology Protection
- Counterintelligence Inspections of 8 May 02
- Inspection Guidelines for DoD Security, Technology Protection, and counterintelligence 2003 (DoDIG report no. 03-INTEL-09 of 6 May 03)



Compartmented Programs and Sensitive Activities

- Responsible for *review and oversight* of all Special Access Programs (SAP), Intelligence Activities, & non-intelligence “Sensitive” activities of DoN components
- Oversight Boards (SECNAVINST 5000.34B)
 - Senior Review Board (SRB)
 - Special Access Program Oversight Committee
 - (SAPOC) / Special Programs Review Group (SPRG)
 - Sensitive Activities Review Board (SARB)



Compartmented Programs and

Sensitive Activities References

- SECNAVINST 5000.34B
- UNSECNAV MFR of 19 Feb 99 (*MFR classified SECRET*)



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Intelligence/SAP Oversight Division

Questions??